

# AFSA NOTICE № AFSA-N-NB-2023-0005 ON EQUIVALENT REGULATED EXCHANGES FOR SECURITIES AND LISTED FUNDS

Date of issue: 06.11.2023

Astana, Kazakhstan



# ON EQUIVALENT REGULATED EXCHANGES FOR SECURITIES AND LISTED FUNDS

## NOTICE No. AFSA-N-NB-2023-0005

### THE ASTANA FINANCIAL SERVICES AUTHORITY HEREBY GIVES NOTICE THAT:

The following Regulated Exchanges are determined as Equivalent Regulated Exchanges for Securities and Listed Funds to be admitted to the Official List maintained by the Astana International Exchange (AIX) in accordance with MAR 1.2.2(2) and AIFC Glossary (Equivalent Regulated Exchange for Listed Funds):

No.	Equivalent Regulated Exchange	Effective Date
1.	London Stock Exchange	
	– Main Market	28 February 2019
	<ul> <li>Alternative Investment Market</li> </ul>	10 September 2019
2.	Euronext Dublin	10 September 2019
3.	Hong Kong Stock Exchange	
	– Main Board	1 November 2019
	<ul> <li>Growth Enterprise Market</li> </ul>	1 November 2019
4.	Shanghai Stock Exchange	
	– Main Board	10 January 2020
5.	Toronto Stock Exchange	3 November 2021
6.	Frankfurt Stock Exchange	
	<ul> <li>General Standard</li> </ul>	3 November 2021
	<ul> <li>Prime Standard</li> </ul>	3 November 2021
7.	Australian Securities Exchange	20 April 2022
8.	New York Stock Exchange	20 April 2022
9.	Nasdaq Stock Market	20 April 2022
10.	Shenzhen Stock Exchange	13 October 2022
11.	Warsaw Stock Exchange	6 November 2023
12.	Luxembourg Stock Exchange	6 November 2023
13.	Budapest Stock Exchange	6 November 2023
14.	Euronext Brussel	6 November 2023
15.	Moscow Stock Exchange	6 November 2023
16.	Kyrgyzstan Stock Exchange	6 November 2023
17.	Georgia Stock Exchange	6 November 2023

#### INTERPRETATION

Defined terms are identified in this notice by the capitalisation of the initial letter of a word or of each word in a phrase and are defined in the AIFC Glossary. Unless the context otherwise requires, where capitalisation of the initial letter is not used, an expression has its natural meaning.

THIS NOTICE IS CONSIDERED TO BE A REPLACEMENT FOR AFSA NOTICE № AFSA-N-NB-2022-0004