

AFSA NOTICE № AFSA-N-NB-2021-0004 ON EQUIVALENT REGULATED EXCHANGES FOR SECURITIES AND LISTED FUNDS

Date of issue: 3 November 2021

Nur-Sultan, Kazakhstan



ON EQUIVALENT REGULATED EXCHANGES FOR SECURITIES AND LISTED FUNDS

NOTICE No. AFSA-N-NB-2021-0004

THE ASTANA FINANCIAL SERVICES AUTHORITY HEREBY GIVES NOTICE THAT:

The following Regulated Exchanges are determined as Equivalent Regulated Exchanges for Securities and Listed Funds to be admitted to the Official List maintained by the Astana International Exchange (AIX) in accordance with MAR 1.2.2 (Exempt Securities) and AIFC Glossary (Equivalent Regulated Exchange for Listed Funds):

- 1. Toronto Stock Exchange
- 2. Frankfurt Stock Exchange (General Standard and Prime Standard segments)

EFFECTIVE PERIOD

This notice comes into effect on the date of issue.

INTERPRETATION

Defined terms are identified in this notice by the capitalisation of the initial letter of a word or of each word in a phrase and are defined in the AIFC Glossary. Unless the context otherwise requires, where capitalisation of the initial letter is not used, an expression has its natural meaning.